



# ControlSolutions®

## **Control Solutions International**

Control Solutions is the leading global provider of independent internal audit, compliance, risk management and technology solutions. Since 1991, we have strategically partnered with leading public, private, nonprofit and government clients, offering a truly positive experience different from that of our competitors. Our team of 800 longtime and highly trained professionals delivers services in more than 35 countries. Our consultants apply a combination of deep industry knowledge and an average 15 years of professional experience to address your unique challenges. Experience, the difference™.

### **Internal Audit Solutions**

We are leaders in strategic internal audit partnering. For over 15 years, we have supported audit committees, management and internal audit functions for organizations around the world. Our service offerings include: Financial and Operational, Information Technology, Continuous Auditing and Data Analytics, Corporate Governance, Audit Committee Advisory, Quality Improvement Services, Internal Audit Startup, Fraud and Forensic Services.

### **Compliance Solutions**

We provide leading expertise and guidance to help organizations understand, design and manage their compliance programs. Our people undertake some of the largest and most complicated and challenging assignments globally. Our service offerings include: Financial Reporting (SOX, SOXlite™, OMB A-123); International Financial Reporting (MI 52-109, JSOX, LSF, MiFID, IFRS, XBRL); Continuous Controls Monitoring; HIPAA; Banking and Financial Services; Payment Card Industry.

### **Risk Management Solutions**

We are experts in business, financial and technology risk and control. Beginning with an understanding of your strategic objectives and risk threshold, we help develop best practice solutions that allow you to mitigate exposure and capture opportunity for lasting business success. Our service offerings include: Enterprise Risk Management, Technology Risk, Fraud Risk, Industrial Security, Tax Risk Assessment.

### **Technology Solutions**

We are committed to delivering total technology solutions, tailored to your specific needs. Our service offerings include: Technical and Application Assessments, Enterprise System Services, Corporate Identity Theft and Privacy, Threat and Vulnerability, Policy and Program Services, Design, Architecture and Infrastructure, Incident Response and Forensics, Disaster Recovery and Business Continuity.

Our professional services delivery model is designed, based on experience, to put you firmly in control, to keep you fully informed and to give you the utmost confidence in the quality of our work. We believe this is how things should be, and we go to great lengths to ensure that there are never questions or doubts along the way. Experience the difference of working with a partner that is dedicated to your service and committed to your success. Experience the difference with Control Solutions. For more information, visit [www.controlsolutions.com](http://www.controlsolutions.com).



## **IDEA Data Analysis Software**

**Distributed by Audimation Services**

**1250 Wood Branch Park Dr., Suite 480, Houston, TX 77079**

**Phone: 888-641-2800, Fax: 281-749-0205**

**Email: [info@audimation.com](mailto:info@audimation.com)**

**Web: <http://www.audimation.com> or <http://www.caseware-idea.com>**

IDEA<sup>®</sup> is a powerful, easy to use data analysis tool that can quickly and accurately import, join, analyze, sample, and extract data from almost any source, including reports printed to a file.

With unlimited file size capabilities to access large volumes of data, IDEA can read through millions of records in only seconds. The Search function and Action fields allow analysis to occur on multiple documents, and an interactive file explorer permits substantial drill-down capability. A new report reader simplifies importing print reports, and includes the ability to read PDFs.

Audimation Services is the sole U.S. distributor for IDEA.

# **Deloitte.**

## **Deloitte & Touche LLP's Internal Audit Practice**

Deloitte & Touche LLP (Deloitte & Touche) is recognized as the organization that has set the pace among professional services firms in enterprise risk management—specifically in the field of internal audit services. For more than 20 years, we have been serving satisfied internal audit clients. We take a comprehensive approach to internal audit designed to help clients improve performance and operating efficiency by bringing effective and efficient delivery of internal audit services provided by dedicated internal audit professionals who serve our clients. They address the traditional fundamentals of internal auditing, and then go further to add tangible value, elevating internal auditing to a more strategic role in today's corporate governance environment.

Our depth of knowledge and involvement across many industries, combined with our outstanding Internal Audit experience and client satisfaction, enables us to serve our clients with consistent quality. Nationwide, we serve more than 330 Internal Audit clients; of those, 115 are Fortune 1000 companies. Our dedicated internal audit practice successfully integrates a broad scope of internal control-related services, such as Quality Assessments, to help our clients understand the role of internal audit and develop and maintain an effective function.

Over 6,300 internal audit and IT audit professionals work for the Deloitte Touche Tohmatsu member firms in 140 countries across the world. This global network is connected via common support tools and methodologies. Our Northeast practice includes internal audit and IT audit professionals from the Tri-

State area, Boston and Philadelphia who have successfully served numerous clients and maintain resources with many years of internal audit, business risk and controls, and consulting experience. With this experience, our team can perform comprehensive and productive assessments of the internal audit functions of our clients.

Deloitte & Touche has long had a collaborative relationship with the IIA. In addition to holding various positions in numerous chapters on a local level, our practitioners also hold senior IIA leadership positions in the United States and internationally. Deloitte & Touche is the sole global provider of the IIA's entire IT audit curriculum. Additionally, last year, the IIA selected Deloitte & Touche as the sole provider of Information Technology training to its membership of over 100,000 professionals.

### **About Deloitte**

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### **About Protiviti Inc.**

Protiviti is a leading provider of independent risk consulting and internal audit services. The company provides consulting and advisory services to help clients identify, assess, measure and manage financial, operational and technology-related risks encountered in their industries, and assists in the implementation of the processes and controls to enable their continued monitoring. Protiviti also offers a full spectrum of internal audit services to assist management and directors with their internal audit functions, including full outsourcing, co-sourcing, technology and tool implementation, and quality assessment and readiness reviews.

Protiviti, which has more than 50 locations in the Americas, Asia-Pacific and Europe, is a wholly owned subsidiary of Robert Half International (NYSE symbol: RHI). Founded in 1948, Robert Half International is a member of the S&P 500 index.

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### **CIMCON Software, Inc.**

100 Crosby Drive, Bedford, Massachusetts 01730

Contact: Greg Shwec (Manager) – Tel: (781) 271-9868 x 207

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#### **-- OUR TOOLS --**

**XL-Risk™** - *for automatic inventory & assessment*; detects spreadsheets and Access databases across your network & identifies critical EUCs based on assigned risk factors. A great first step in your compliance initiative.

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- Security and access controls
- Testing of controls
- Remote access (off line)
- No data migration needed



Pentana is a specialist developer of audit automation software solutions.

Our Pentana Audit Work System (PAWS) is a complete solution to audit management and workpapers. Integrated risk management and internal controls modules make it equally suitable for use by risk departments and for compliance with Sarbanes-Oxley reporting. Web based modules allow direct update of risks, controls, audit questionnaires and full tracking of actions.

Other products include the Retain resource planning system, which is used to optimize utilization of resources. It uses a simple graphical drag-and-drop interface to make and move bookings.

Additional modules include Retain Time, Pentana Checker (for Information Security and GAAP), and AZScan for network security scanning.

For further information, come and see us at our stand or visit [www.pentana.com](http://www.pentana.com)

PENTANA INC, 4195 Viking Way, Suite 120, Long Beach CA 90808

Tel: +1-888 566 7569 (Toll Free)

Web: [www.pentana.com](http://www.pentana.com)

e-mail: [info@pentana.com](mailto:info@pentana.com)



**D'Arcangelo  
Software  
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*D'Arcangelo Software Services* provides risk-based audit solutions featuring:



**Galileo** a comprehensive, integrated audit management and documentation system. It incorporates risk assessment, audit plans, graphical staff scheduling, timesheets, workpapers, report generation and action tracking.



**Magique** a versatile risk management and control risk self-assessment system. It facilitates compliance with corporate governance initiatives, such as Sarbanes-Oxley.

**Galileo** and **Magique** are easy to use Web-enabled applications. Their flexible design will accommodate your organization's specific requirements. When used together, they empower your organization to work together to manage risks and resolve issues. Available for Microsoft .Net or Lotus Notes.

For more information, contact

Chris Campanile  
914-694-4600  
[info@darcangelo.com](mailto:info@darcangelo.com)  
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ACL Services Ltd. ([www.acl.com](http://www.acl.com)) is the leading global provider of Business Assurance Analytics to auditors and financial executives. Combining market-leading data analysis software and professional services expertise, ACL solutions give organizations confidence in the accuracy and integrity of the transactions and the effectiveness of the internal controls underlying increasingly complex business operations. ACL delivers its solutions in more than 130 countries through a global network of ACL offices and channel partners. Our customers include 70 percent of the Fortune 500 companies and nearly two-thirds of the Global 500, as well as hundreds of national, state, and local governments, and the Big Four public accounting firms.



**BDO Seidman, LLP**  
Accountants and Consultants

BDO Seidman, LLP is a national professional services firm providing assurance, tax, financial advisory and consulting services to a wide range of publicly traded and privately held companies. Guided by core values including competence, honesty and integrity, professionalism, dedication, responsibility and accountability, for almost 100 years we have provided quality service and leadership through the active involvement of our most experienced and committed professionals.

BDO Seidman serves clients through 34 offices and more than 300 independent alliance firm locations nationwide. As a Member Firm of BDO International, BDO Seidman, LLP serves multi-national clients by leveraging a global network of resources comprised of 621 Member Firm offices in 107 countries. BDO International is a worldwide network of public accounting firms, called BDO Member Firms, serving international clients. Each BDO Member Firm is an independent legal entity in its own country.

#### **Critical Anti-Fraud Program**

The BDO Seidman Critical Anti-Fraud Program (“CAP”) fosters a genuine anti-fraud environment to enable organizations to comply with regulatory requirements and focus their resources appropriately to maximize their value. CAP can significantly reduce the risk of fraud at your organization and increase the likelihood that, if a fraud act does occur, it will be detected at an early stage. CAP is based upon the skills

and insights of seasoned fraud investigators about the nature, impact and causes of financial fraud in organizations.

CAP is based upon an interdisciplinary approach that identifies remedies and monitors the specific fraud risk factors that have been identified in our experience.

### **Risk Advisory Services**

BDO Seidman's Risk Advisory Services (RAS) practice helps clients manage their risk and achieve strategic business objectives through proper internal control systems. The core of the RAS practice is an experienced team of professionals dedicated to delivering superior client service. Clients throughout the United States and BDO International's global network trust BDO Seidman's RAS practice to help address their internal audit, compliance, technology risk and security and business process enhancement service needs.



Crowe is a top 10 accounting and consulting firm. Differentiating itself from many others, Crowe has a specific focus on serving organization's Corporate Governance; Internal Audit Teaming, Co-Sourcing and Outsourcing; Enterprise Risk Management, IT Audit, Security/Privacy, SAS 70, and Identity and Access Management needs throughout the US and internationally. Crowe's practice brings together independent, objective and cost effective experts in Internal Auditing from Big 4 and large corporate internal audit backgrounds to provide innovative solutions to their clients. Learn why more executives turn to Crowe as "The Unique Alternative to the Big Four®." For more information contact Vicky Ludema at 973-597-6696 or email at [vludema@crowechizek.com](mailto:vludema@crowechizek.com). [www.crowechizek.com](http://www.crowechizek.com)



Jefferson Wells was founded as an independent alternative to public accounting firms for internal audit services. Over the years, we expanded our expertise to include accounting and finance, tax and technology risk management services. We do not perform attestation work. Our unique business model emphasizes seasoned professionals with public accounting and industry experience. Jefferson Wells focuses on client needs and delivers independent, cost-effective results. Our depth and breadth of experience allow us to provide solutions across a wide range of finance-related business functions. We serve clients, including Fortune 500 companies, from offices across North America and Europe.



Ernst & Young has been providing internal audit services for over 25 years. Our U.S.-based Business Risk Services (BRS) practice is staffed with more than 1,900 fully dedicated personnel aligned by industry or functional specialty. Recognized as a market leader in providing internal audit services globally, we lead the industry in strategic teaming and outsourcing engagements among the *Fortune* 1000 in the United States and *Business Week*'s Global 1000 internationally. In providing either full outsource, selective teaming, risk assessment, or methodology enhancement support, our internal audit processes are risk-driven and focused on the business issues that are critical to meeting your strategic goals. Ernst & Young's methodology is fully integrated with and supported by state-of-the-art technology, leading practice knowledge, and advanced learning systems. In addition, Ernst & Young assists numerous clients in carrying out independent assessments of AML programs and has developed extensive experience providing Sarbanes-Oxley Act 404 advisory services to the financial services industry.

Additionally, Ernst & Young's Technology and Security Risk Services (TSRS) professionals can help you focus on fundamentals as well as emerging IT governance, risk, security, and regulatory issues as you develop and execute plans to respond to stakeholder expectations. We assist organizations in developing and implementing comprehensive IT risk frameworks to assess, control, monitor and measure IT-related business risks utilizing our proprietary methodologies and tools. Our services are predicated on insights into how leading financial services organizations prioritize their efforts to ensure that IT investments provide optimal security and risk mitigation in the most cost effective manner. Key TSRS Service offerings include: IT Risk Advisory, Information Security, IT Audit Controls Integration, IT Internal Audit, Application Controls and Security, Information Advisory Services, Third-Party Assurance and Vendor Risk Management.

Further information about Ernst & Young's internal audit capabilities can be found at [www.ey.com/us/businessrisk](http://www.ey.com/us/businessrisk). For information on services offered by Ernst & Young's Technology and Security Risk Services practice, visit [www.ey.com/us/tsrs](http://www.ey.com/us/tsrs).

## LUMIGENT

Lumigent is the market leader in database auditing for compliance and security. Lumigent provides solutions and services which help companies translate their risk management policies into automated controls for data governance. Many of the world's largest companies, including six of the top ten financial institutions, rely on Lumigent to reduce the cost of compliance, increase data security, and mitigate risk.

Lumigent Audit DB monitors for abuse of policies and inappropriate access to sensitive information, and provides evidence of control over data access and use. It is the only solution available today that provides a complete and irrefutable audit trail for substantiation of audit and compliance requirements. And it is the only solution that answers all four important questions: who accessed the data, what data was accessed, when, and what was the effect?



**THE SIEGFRIED GROUP, LLP**  
*Professional Service Partners*

Established in 1988, The Siegfried Group is a leading provider of Accounting Resource Services to large organizations and Fortune 1000 companies (including a Big Four CPA firm) across the nation. We provide our clients with high-caliber, experienced accounting and financial professionals to assist with the completion of important financial work. Through long-enduring relationships, The Siegfried Group helps our clients extend their in-house human resources capabilities with a customized, consistently reliable resource solution. As a result of our consistently reliable resource solution, we have appeared on Accounting Today's 2006 Top 100 Firms and the 2006 Inside Public Accounting Top 100 Firms where we were ranked #24 and considered to be one of the fastest growing CPA firms among the top 50.

Contact:           Domenica Scarpulla  
                          Regional Market Leader  
                          The Siegfried Group, LLP  
                          One Penn Plaza, Suite 2131  
                          New York, NY 10119  
                          Telephone: (212) 947-7575  
                          Email: [dscarpulla@siegfriedgroup.com](mailto:dscarpulla@siegfriedgroup.com)  
                          Website: [www.siegfriedgroup.com](http://www.siegfriedgroup.com)