



NEW YORK REPORTS

PLATINUM AWARD CHAPTER

AUGUST 2007



Dear Colleagues:



How time flies! The summer is almost over and we are looking forward to the official start of our 2007-2008 Chapter Year. This year, under Adam Stumer's leadership, the workshop Committee has outdone themselves. The entire year was organized by the start of summer. We have great programs with exceptional topics, speakers and trainers. Let me update you on recent events and give you a preview of September and October offerings.

- On **August 15th**, we hosted our annual training and social event. In the morning, Jay T. Simmons from the firm Board Advisory Services, Inc. spoke on Using Fraud Investigations as an ERM Tool. The session was attended by over 50 members. After the session, hosted by Calyon, we went to Yankee Stadium to see the Yanks play the Orioles. Thanks to Carol McFarlane for organizing the event and Mary O'Mahoney for handling the registration.
- Our workshop program begins on **September 7th**. Ed Robinson will be providing practical training focused on "Project Management Skills for Auditors". Ed's seminars are always well received so we encourage you to sign up for this session. Our first luncheon will be held at the Club Restaurant at Madison Square Garden. Neil White will be our luncheon speaker. Neil is a Partner in the Audit and Enterprise Risk Services group for Deloitte and Touche and he will be discussing current SOX trends. Register early! As

usual, discount packages are available through our subscriptions. If you are interested in subscriptions, please contact Bill Morello at 212-576-7745. Remember to mark your calendar for September 7.

- Mark Arning will continue to host the General Auditors Roundtable sessions this Chapter Year at New York Life. These meetings provide a forum for Chief Audit Executives to discuss relevant topics in an informal setting. The first meeting will be on **September 27** from 2-4PM. The meetings are generally held the last Thursday of each month.
- The CIA Review classes will be held on **Monday October 1 through Friday October 5th**. As in the past, the format will be a one-day training session for each part of the exam with the exception of part three which will be covered over two days. Details are provided in this newsletter as well as on the website nyiaa.org.

While we hate to bid farewell to summer it will be great to see all of you again as we gear up for an exciting year.

Please visit our website (www.nyiaa.org) for more information about the Chapter's programs and activities. I hope to see you at our next event.

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Editor's Corner



Now that summer is coming to a close we can really start to gear up for the coming chapter year and football season!!

We have many great events planned for the year such as the Project Management Skills for Auditors Seminar on September 7th and the Auditing Business Continuity and Disaster and Recovery Plans and Programs Seminar on October 12th. I am sure these events will be great fun, informative and great for networking.

Please visit our website (www.nyiaa.org) for more information about the Chapter's programs and activities.

Camille Herzog, Editor

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Presents
Monthly Workshop Series
September 7, 2007

Workshop (9am- 5pm)

Ed Robinson (Ed Robinson Seminars) on Project Management Skills for Auditors

Project Management Skills for the Auditor -Ready,Aim, Fire! This hands-on workshop develops twelve-plus skills and project management attributes critical to leading successful auditing teams. This program is an actual project simulation followed by best practices from various internal auditor shops contributing to the immediate application when you return to your organization.

Edward Robinson is the former Vice President of the IIA Learning Center, where his responsibilities included development of seminars, conferences and educational products as well as business development. Since 1989, Ed has been president of Advanced Marketing Concepts, a company specializing in business and professional development with a wide variety of clients, including major auditing and accounting organizations. His products include seminars, workshops and consulting engagements covering client development (sales), leadership, management and technical topics. Ed is recognized internationally for his expertise in client development, customer service, service management, and communication skills. He understands that the true secrets of the success of his programs are customization and practicality, coupled with his ability to partner with clients to help them achieve better than expected results. Ed claims to be a recovering CPA with a contagious personality.

Lunch (12-2pm)

Neil White (Deloitte & Touche LLP) on Current SOX Trends

Neil is a Partner at Deloitte & Touche in the Enterprise Risk Services group. Neil has over 13 years of experience in information technology (IT) consulting, internal controls audit, and Risk Management.

Neil is a certified information system auditor (CISA). His primary focus is on the Consumer Business industry sector where he assists clients in redesigning, implementing and evaluating internal controls around processes and technologies. Recently, his work has included helping clients to streamline and enhance their internal controls and compliance processes.

As a leader of Deloitte & Touche's national initiatives for SOX, Neil has provided training to professionals and clients throughout the region and across the country and continues to support the development and enhancement of tools and methodologies for the delivery of risk management and SOX related services.

Location

Madison Square Garden
 The Club Restaurant
 4 Pennsylvania Plaza, New York City

Register early to ensure a seat at the next workshop!

New York Chapter of the IIA



Event Registration Form

Today's Date: _____

To:

Contact	Phone #	E-mail	Fax #	Registration Months
Linda Tan	212.576.6835	Linda_Tan@newyorlife.com	212.447.5335	Sept –Nov 07
Lulu Quitasol	212.656.5708	lquitasol@nyse.com	212.656.2460	Dec 07- Feb 08
Frank Campisi	212.656.5881	fcampisi@nyse.com	212.656.2460	Apr – May 08

From: _____

Fax number: _____

All reservations must be made by 5:00pm, TUESDAY, the week of the event. Please note that some classes may fill earlier than that Tuesday, so early registration is highly recommended.

To make reservations, complete the form below, and either ***e-mail the information*** or fax it to the numbers above (based on the month). Please confirm that the registration was received. If you need to speak to someone regarding reservations or details please contact one of the volunteers above.

Date of Event: _____

Name: _____

Company: _____ Phone No.: _____

Event Selection: Workshop (\$175) Chapter Meeting (Luncheon Only-\$ 60)

Method of Payment: Subscription Money Order / Check

Member Selection: Member Non-Member Kosher Meal:

Make Checks Payable to ***“NYIIA”*** and SEND CHECK TO:

Linda Tan
 New York Life Insurance
 51 Madison Avenue
 Room 701
 New York, NY 10010
 (Sept –Nov 2007)

Lulu Quitasol
 NYSE Euronext
 20 Broad Street
 8th Floor
 New York, NY 10005
 (Dec 2007 –Feb 2008)

Frank Campisi
 NYSE Euronext
 20 Broad Street
 8th Floor
 New York, NY 10005
 (April –May 2008)

CIA EXAM CANDIDATES

Now is the time to sit for and pass the Certified Internal Auditor (CIA) exam. To assist you the New York Chapter is yet again offering a preparation course.

<i>Section</i>	<i>Dates & Time</i>	<i>Cost</i>	<i>CPEs</i>
<i>Part I</i>	Monday, October 1, 2007 8:30 AM to 4:30 PM	\$ 100	7
<i>Part II</i>	Tuesday, October 2, 2007 8:30 AM to 4:30 PM	\$100	7
<i>Part III</i>	Wednesday, October 3, 2007 Thursday, October 4, 2007 8:30 AM to 4:30 PM	\$200	14
<i>Part IV</i>	Friday, October 5, 2007 8:30 AM to 4:30 PM	\$100	7

Where?

New York Life Insurance
51 Madison Avenue (btw 26th and 27th Streets) – HR Learning Center, rooms
Madison north & south.

Reservations are on a “First Come Basis.” For Information & Registration contact:

Brian Mannix: e-mail Brian_Mannix@newyorklife.com; Telephone: (212) 576-5928 or

Juan Perez: e-mail juan_h_perez@newyorklife.com; Telephone: (212) 576-7984.



IIA/NY Chapter 2007-2008 Seminars at Madison Square Garden

September 7, 2007

Ed Robinson (Ed Robinson Seminars)

- *Project Management Skills for Auditors*

October 12, 2007

Jay Ranade

- *Auditing Business Continuity and Disaster Recovery Plans and Programs*

November 16, 2007

John Hall (Hall Consulting)

- *Fraud Issues & Answers for Internal Auditors: The Auditor's Role in Fraud Prevention, Detection and Handling*

December 7, 2007

Sandra J. Miller

- *Conflict and Confrontation*

January 11, 2008

Anne Simmon

- *Topic To Be Determined*

February 15, 2008

Chris Wright and Fred Umbach (Protiviti)

- *Engendering a Cultural Awareness of Risk*
- *Finding the Right People*

March 28, 2008

- Annual Audit Seminar

April 11, 2008

Nick Barone

- *IT Fraud Auditing*

May 9, 2008

Nejolla Korris (Contemporary Business Concepts)

- *Investigative Techniques: Linguistic Lie Detection*

For More Information Visit our Website at <http://www.nyiaa.org/>

You're Invited to our Garden Party

Institute of Internal Auditors - NY Chapter

Luncheons

at

Madison Square Garden

September 7, 2007

Neil White (Deloitte & Touche LLP)
-Current SOX Trends

October 12, 2007

Uday Gulvadi (Eisner LLP)
-Managing the risks of growth.

November 16, 2007

Garry Goodall. (Ernst & Young LLP)
-Continuous Auditing

December 7, 2007

Paul C. McDonald (Robert Half Management Resources)
-Changing Workforce Dynamics: Hiring and Compensation Outlook

January 11, 2008

Joe Reo
-Asset Acquisition - Sarbanes Oxley

February 15, 2008

Ken Yormark (Protiviti)
Avoiding Headlines

March 28, 2008

- Annual Audit Seminar

April 11, 2008

Jim Lombardo (Deloitte & Touche LLP)
How Good Governance can deter Fraud

May 9, 2008

- Awards Presentation

For More Information Visit our Website at <http://www.nyiaa.org/>

New York Chapter 2007/08

Board of Governors

Board of Governors (Term 2007-2010)

Ray Cochran
Julie Connors
Nicholas DiMola
Marian Dougherty
Michael Fucilli
Steve Lilien
Xenia Ley Parker
John Schaedel

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George Brucato
Paul Flora
Jack Garry
Mike Lapelosa
Michael Lugo
Luca Pagoto
Michael Roemer
Howard Shain
Darren Skolnick
Thomas Woods

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Ray Boudiette
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